



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/617,607

07/11/2003

Peng T. Ong

AUS920085001US2

2901

50170

7590

04/09/2009

IBM CORP. (WIP)

c/o WALDER INTELLECTUAL PROPERTY LAW, P.C.

17330 PRESTON ROAD

SUITE 100B

DALLAS, TX 75252

EXAMINER

JOHNSON, CARLTON

ART UNIT

PAPER NUMBER

2436

MAIL DATE

DELIVERY MODE

04/09/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/617,607

Applicant(s)

ONG, PENG T.

Examiner

CARLTON V. JOHNSON

Art Unit

2436

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period **will** apply and **will** expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply **will**, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 04 December 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,3-7,9,10 and 16-27 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,3-7,9,10 and 16-27 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date: _____.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____.

DETAILED ACTION

1. In view of the Appeal Brief filed on 12-4-2008, PROSECUTION IS HEREBY REOPENED. A new ground of rejection is set forth below.

To avoid abandonment of the application, appellant must exercise one of the following two options:

- (1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,
- (2) request reinstatement of the appeal.

If reinstatement of the appeal is requested, such request must be accompanied by a supplemental appeal brief, but no new amendments, affidavits (37 CFR 1.130, 1.131 or 1.132) or other evidence are permitted. See 37 CFR 1.193(b)(2).

A Supervisory Patent Examiner (SPE) has approved of reopening prosecution by signing below:

/Nasser G Moazzami/

Supervisory Patent Examiner, Art Unit 2436.

2. This action is responding to application papers filed on 12-4-2008. Claims **1, 3 - 7, 9, 10, 16 - 27** are pending. Claims **2, 8, 11 - 15** have been cancelled. Claims **1, 16, 17, 18** are independent. This application was filed on 7-11-2003.

Response to Arguments

3. Applicant's arguments filed 12-4-2008 have been fully considered but they are moot due to new grounds of rejection.

The Yasuda prior art discloses coupling of a separate hardware security device (an IC reader/writer) to a data processing computer system supplying credential information for each application of the plurality of applications. (see Yasuda col. 6, lines 5-11: IC card reader/writer read as and writes data from/to an IC card; col 6, line 58 - col. 7, line 31: once matching information is received from the client; client requests a list of application names stored on IC card; when user selects one application name; client reads authentication information corresponding to selected application; if match OK, authentication information supplied to client; perform and complete authentication process)

The Schaeck prior art discloses a view or display consisting of a plurality of applications or multiple applications. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or a plurality of accessible services or applications; paragraph [0044], lines 1-4: user actions, accessible by the user)

The Delany prior art discloses a consolidated view for the set of available applications. (see Delany paragraph [0113], lines 13-18, paragraph [0129], lines 16-20: LDAP, consolidated user based directory information)

Claim Rejections - 35 USC § 112

4. The previous 112 rejection has been withdrawn due to remarks.

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims **1, 3 - 7, 9, 10, 21 - 27** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Schaeck et al.** (US PG PUB No. **20030163513**) in view of **Delany et al.** (US PG PUB No. **20020138763**) and further in view of **Cotte** (US PG PUB No. **20040013132**) and **Yasuda et al.** (US Patent No. **7,114,075**).

With Regards to Claim 1, Schaeck discloses a method for providing a system administrator with a view of a totality of application accessible by a user, comprising:

- b) identifying the plurality of applications (see Schaeck paragraph [0037], lines 1-5: service equivalent to application; paragraph [0066], lines 5-10: aggregation of services or applications) accessible by a user by examining the authentication credential container associated with the user; (see Schaeck paragraph [0066], lines 1-5, paragraph [0081], lines 1-11: examine user authentication credentials; paragraph [0022], lines 1-3: profile or credentials container) and
- d) a view of displayer to display the view of the plurality of applications accessible by the user to the administrator. (see Schaeck paragraph [0043], lines 5-7;

paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services))

Schaeck discloses wherein generating a view of the plurality of applications accessible by the user. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0044], lines 1-4: user actions, accessible by the user) Schaeck does not specifically disclose a consolidated user directory.

However, Delany discloses:

c) a consolidated user directory that contains user authentication information across the plurality of applications. (see Delany paragraph [0113], lines 13-18, paragraph [0129], lines 16-20: LDAP, consolidated user based directory information)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck to enable a consolidated directory of the plurality of the applications as taught by Delany. One of ordinary skill in the art would have been motivated to employ the teachings of Delany to enable, within a consolidated view or a single source, the addition and removal of directory entry attributes for an existing group. (see Delany paragraph [0014], lines 4-7: “ ... Over time, an administrator may discover that using a dynamic membership rule is a mistake for this group because the number of members is growing too fast. ... “; paragraph [0014], lines 10-14: “ ... since the group has already been created and used, prior systems do not allow for

the modification of the group by removing the attribute for storing a dynamic membership rule. ... “)

Schaeck-Delany does not specifically disclose a complete listing of applications.

However, Cotte discloses a complete listing of applications for: a); b); c); (see Cotte paragraph [0116], lines 1-7: total number of application possible)

It would have been obvious to one of ordinary skill in the art to modify Schaeck-Delany for a complete listing of applications as taught by Cotte. One of ordinary skill in the art would have been motivated to employ the teachings of Cotte to realizing advantages, such as privacy, ease of use, and/or data communications capabilities, offered by available communications environments. (see Cotte paragraph [0022], lines 1-5: “ ... *The present invention may be employed to overcomes disadvantages existing in known communications environments while realizing advantages, such as privacy, ease of use, and/or data communications capabilities, offered by available communications environments....“)*

Schaeck discloses wherein credential information for each application that the user uses into an authentication credential container associated with the user.

(see Schaeck paragraph [0066], lines 5-10: aggregation of services or applications; paragraph [0066], lines 1-5, paragraph [0081], lines 1-11: examine user authentication credentials; paragraph [0022], lines 1-3: profile or credentials container) Schaeck-Delany-Cotte does not specifically disclose a separate hardware security device. (see Schaeck paragraph [0022], lines 1-3: profile or

credentials container, credential information)

However, Yasuda discloses:

- a) receiving, in response to a coupling of a separate hardware security device to the data processing system, credential information for each application of the plurality of applications that the user uses from the separate hardware security device; (see Yasuda col. 6, lines 5-11: IC card reader/writer read as and writes data from/to an IC card; col 6, line 58 - col. 7, line 31: once matching information is received from the client; client requests a list of application names stored on IC card; when user selects one application name; client reads the authentication information corresponding to selected application; if match OK, receives authentication information and supplies information to client; perform authentication process)

It would have been obvious to one of ordinary skill in the art to modify Schaeck-Delany-Cotte for coupling a separate hardware security device, and credential information for each application as taught by Yasuda. One of ordinary skill in the art would have been motivated to employ the teachings of Yasuda for improving security of the authentication information in order to achieve a high level security. (Yasuda col 2, ll 49-54: “ ... Therefore, in the present invention the apparatus does not directly access the storage medium to read authentication information of the applications, which improves security of the authentication information stored in the storage medium for achieving a high level security. ... ”)

With Regards to Claim 3, Schaeck discloses the method of claims 1, further comprising providing an interface to assist in removing access to an application from the plurality of the applications by utilizing the view of the plurality of the applications accessible by the user. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0043], lines 9-15: delete or remove access to a service or application)

Schaeck does not specifically disclose a complete listing of applications. However, Cotte discloses a complete listing of applications. (see Cotte paragraph [0116], lines 1-7: total number of application possible)

It would have been obvious to one of ordinary skill in the art to modify Schaeck for a complete listing of applications as taught by Cotte. One of ordinary skill in the art would have been motivated to employ the teachings of Cotte in order to enable the capability to realizing advantages, such as privacy, ease of use, and/or data communications capabilities, offered by available communications environments. (see Cotte paragraph [0022], lines 1-5)

With Regards to Claim 4, Schaeck discloses the method of claim 1, further comprising: wherein the user utilizing the generated view. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications), and injecting authentication information of the user account into the authentication credential container of the user. (see Schaeck paragraph [0052], lines 11-15: script program utilizing in the processing of authentication

information, authentication information placed or “injected” within authentication process via script technology) Schaeck does not specifically disclose creating a user account for a new application to be accessible by the user.

However, Delany discloses:

- a) creating a user account for a new application to be accessible by the user; (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: create user accounting information) and
- b) authentication information of the user account into the authentication credential container of the user. (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: create user accounting information)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck such that the authentication credential container is stored at a server as taught by Delany. One of ordinary skill in the art would have been motivated to employ the teachings of Delany to enable, within a consolidated view or a single source, the addition and removal of directory entry attributes for an existing group. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14) One of ordinary skill in the art would have been motivated to employ the teachings of Delany to enable, within a consolidated view or a single source, the addition and removal of directory entry attributes for an existing group.

With Regards to Claim 5, Schaeck discloses the method of claim 4, wherein the authentication credential container and a server. (see Schaeck paragraph [0066], lines

Art Unit: 2436

1-5, paragraph [0081], lines 1-11: examine user authentication credentials; paragraph [0051], lines 1-6; paragraph [0075], lines 1-4: authentication server system; paragraph [0022], lines 1-3: profile or credentials container) Schaeck does not specifically disclose wherein the authentication credential container stored at a server. However, Delany discloses wherein authentication credential container is stored at a server. (see Delany paragraph [0128], lines 1-3; paragraph [0129], lines 1-4: database manager, profile or authentication information under control of directory server and database server)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck such that the authentication credential container is stored at a server as taught by Delany. One of ordinary skill in the art would have been motivated to employ the teachings of Delany to enable, within a consolidated view or a single source, the addition and removal of directory entry attributes for an existing group. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

With Regards to Claim 6, Schaeck discloses the method of claim 3, wherein the removing is performed automatically. (see Schaeck paragraph [0044], lines 1-10: data-oriented or presentation interface, data-oriented interface designates an automatic interface between client and server; paragraph [0043], lines 9-15: delete or remove access to a service or application)

With Regards to Claim 7, Schaeck discloses the method of claim 4, wherein processing user account information is performed either automatically or manually by an

administrator. (see Schaeck paragraph [0044], lines 1-10: data-oriented or presentation interface, data-oriented interface designates an automatic interface) Schaeck does not specifically disclose the creation of a user account. However, Delany discloses wherein creating the user account. (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: create user accounting information)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck for the creation of a user account as taught by Delany. One of ordinary skill in the art would have been motivated to employ the teachings of Delany to enable, within a consolidated view or a single source, the addition and removal of directory entry attributes for an existing group. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

With Regards to Claim 9, Schaeck discloses the method of claim 4, wherein the authentication information is injected into the separate hardware security device. (see Schaeck paragraph [0052], lines 11-15: script program utilizing in the processing of authentication information, authentication information placed or “injected” within authentication process via script technology) Schaeck does not specifically disclose creating a user account for a new application to be accessible by the user. However, Delany discloses wherein creating a user account. (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: create user accounting information)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck to creating a user account as taught by Delany. One of ordinary skill in the art

would have been motivated to employ the teachings of Delany to enable, within a consolidated view or a single source, the addition and removal of directory entry attributes for an existing group. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

With Regards to Claim 10, Schaeck discloses the method of claim 1, further comprising user directories for each application of the plurality of the applications accessible by the user. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications) Schaeck does not specifically disclose removing individual directories for each application. However, Delany disclose wherein removing individual user directories for each application. (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: delete or remove user accounting information or user directories)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck to enable removing individual user directories for each application as taught by Delany. One of ordinary skill in the art would have been motivated to employ the teachings of Delany to enable, within a single source or consolidate view, the addition and removal of user accounting and authentication attributes for an existing group using a centralized source. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

With Regards to Claim 21, Schaeck discloses the method of claim 1 wherein a list of information employed by the user. Schaeck does not specifically disclose a list of key information. However, Delany discloses wherein the view comprises: information of keys employed by the user, wherein each entry in the list corresponds to a different key employed by the user, and wherein each entry identifies a type of the corresponding key and a serial number of the corresponding key. (see Delany paragraph [0361], lines 1-21: public/private key and certificate usage, certificate serial number; paragraph [0374], ll 11-14: view certificate information (key information and serial number))

It would have been obvious to one of ordinary skill in the art to have modified Schaeck for key and certification information such as serial number as taught by Delany. One of ordinary skill in the art would have been motivated to employ the teachings of Delany in order to enable, within a single source or consolidate view, the addition and removal of user accounting and authentication attributes for an existing group using a centralized source. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

With Regards to Claim 22, Schaeck discloses the method of claim 1, wherein the view comprises: a profile of the user detailing a role of the user, a name of the user, contact information for the user, and employment information for the user. (see Schaeck paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: process user profile information, account, role of user, administrator)

With Regards to Claim 23, Schaeck discloses the method of claim 1, wherein the view comprises: a list of certificate-enabled applications accessible by the user, wherein each entry in the list corresponds to a different certificate-enabled application, and wherein each entry identifies a user name of the user and a last login attempt of the user for the corresponding certificate-enabled application. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications (certificate enabled application is still an application and a service accessible by a user))

With Regards to Claim 24, Schaeck discloses the method of claim 1, wherein the view comprises: a list of enterprise applications accessible by the user, wherein each entry in the list corresponds to a different enterprise application, and wherein each entry identifies a user name of the user for the corresponding enterprise application. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications (enterprise application is still an application and a service accessible by a user)) Schaeck does not specifically disclose tracking a last login attempt of the user. However, Delany discloses wherein a last login attempt of the user for corresponding entries application. (see Delany paragraph [0428], lines 3-8; paragraph [0429], lines 4-7: authentication (login) attempts (successful and unsuccessful) are logged (tracked))

It would have been obvious to one of ordinary skill in the art to have modified Schaeck for last login attempt information as taught by Delany. One of ordinary skill in

Art Unit: 2436

the art would have been motivated to employ the teachings of Delany to enable, within a single source or consolidate view, the addition and removal of user accounting and authentication attributes for an existing group using a centralized source. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

With Regards to Claim 25, Schaeck discloses the method of claim 1, wherein the view comprises: a list of personal applications accessible by the user, wherein each entry in the list corresponds to a different personal application, and wherein each entry identifies a number of accounts connected to the corresponding personal application. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications (personal application is still an application))

With Regards to Claim 26, Schaeck discloses the method of claim 22, wherein the view comprises: user selectable graphical user interface elements for invoking a function to update the profile and for invoking a function to reset the profile. (see Schaeck paragraph [0043], lines 13-15: add or update application list; paragraph [0044], lines 1-10: data-oriented or presentation or user interface, presentation interface designates a manual interactive interface; paragraph [0066], lines 5-10: modification (add, update) of user profile information)

With Regards to Claim 27, Schaeck discloses the method of claim 23, wherein the view comprises: a user selectable graphical user interface element for invoking a function to delete a user name of the user from the list of certificate-enabled applications. (see Schaeck paragraph [0043], lines 13-15: delete (user name) accounts; paragraph [0044], lines 1-10: data-oriented or presentation or user interface, presentation interface designates a manual interactive interface; paragraph [0066], lines 5-10: deletion of user profile information)

7. Claims **16, 17** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Schaeck-Cotte** and further in view of **Yasuda**.

With Regards to Claim 16, Schaeck discloses a method, in a data processing system, for providing a system administrator with a list of a plurality of applications accessible by a user, comprising:

- b) identifying the plurality of applications accessible by the user by examining an authentication credential container associated with the user; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services))
- c) generating a list of the plurality of applications accessible by the user; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines

7-12: role of administrator, view of application (i.e. services)) and
d) displaying the list to the administrator. (see Schaeck paragraph [0043], lines 5-7;
paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services
or applications; paragraph [0045], lines 7-12: role of administrator, view of
application (i.e. services))

Schaeck does not specifically disclose a complete listing of applications. However,
Cotte discloses a complete listing of applications for: a); b); (see Cotte paragraph
[0116], lines 1-7: total number of application possible)

It would have been obvious to one of ordinary skill in the art to modify Schaeck
for a complete listing of applications as taught by Cotte. One of ordinary skill in the
art would have been motivated to employ the teachings of Cotte in order to enable
the capability to realizing advantages, such as privacy, ease of use, and/or data
communications capabilities, offered by available communications environments.
(see Cotte paragraph [0022], lines 1-5)

Schaeck discloses wherein credential information for each application that the user
uses into an authentication credential container associated with the user. (see
Schaeck paragraph [0066], lines 5-10: aggregation of services or applications;
paragraph [0066], lines 1-5, paragraph [0081], lines 1-11: examine user
authentication credentials; paragraph [0022], lines 1-3: profile or credentials
container) Schaeck-Cotte does not specifically disclose a separate hardware
security device. (see Schaeck paragraph [0022], lines 1-3: profile or credentials

container, credential information)

However, Yasuda discloses:

- a) receiving, in response to a coupling of a separate hardware security device to the data processing system, credential information for each application of the plurality of applications that the user uses from the separate hardware security device; (see Yasuda col. 6, lines 5-11: IC card reader/writer read as and writes data from/to an IC card; col. 6, line 58 - col. 7, line 31: once matching information is received from the client; client requests a list of application names stored on IC card; when user selects one application name; client reads the authentication information corresponding to selected application; if match OK, receives authentication information and supplies information to client; perform authentication process)

It would have been obvious to one of ordinary skill in the art to modify Schaeck-Cotte for coupling a separate hardware security device, and credential information for each application as taught by Yasuda. One of ordinary skill in the art would have been motivated to employ the teachings of Yasuda for improving security of the authentication information in order to achieve a high level security. (Yasuda col 2, ll 49-54)

With Regards to Claim 17, Schaeck discloses a method, in a data processing system, for providing a system administrator with a list of a plurality of applications accessible by a user together with any user names and passwords used in connection with those

applications, comprising:

- a) receiving, in response to a coupling of a separate hardware security device to the data processing system, credential information for each application of the plurality of applications that the user uses from the separate hardware security device into an authentication credential container associated with the user; (see Schaeck paragraph [0022], lines 1-3: profile or credentials container, credential information; no disclosure of a separate hardware device)
- b) identifying the plurality of applications accessible by the user and any user names and passwords used in connection with the plurality of applications by examining an authentication credential container associated with the user; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services));
- c) generating a list of the plurality of applications accessible by the user together with any user names and passwords used in connection with the plurality of applications; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services)); and
- d) displaying the list to the administrator. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of

application (i.e. services))

Schaeck does not specifically disclose a complete listing of applications. However, Cotte discloses a complete listing of applications for: a); b); (see Cotte paragraph [0116], lines 1-7: total number of application possible)

It would have been obvious to one of ordinary skill in the art to modify Schaeck for a complete listing of applications as taught by Cotte. One of ordinary skill in the art would have been motivated to employ the teachings of Cotte to enable the capability to realizing advantages, such as privacy, ease of use, and/or data communications capabilities, offered by available communications environments. (see Cotte paragraph [0022], lines 1-5)

Schaeck discloses wherein credential information for each application that the user uses into an authentication credential container associated with the user. (see Schaeck paragraph [0066], lines 5-10: aggregation of services or applications; paragraph [0066], lines 1-5, paragraph [0081], lines 1-11: examine user authentication credentials; paragraph [0022], lines 1-3: profile or credentials container) Schaeck-Cotte does not specifically disclose a separate hardware security device. (see Schaeck paragraph [0022], lines 1-3: profile or credentials container, credential information)

However, Yasuda discloses:

a) receiving, in response to a coupling of a separate hardware security device to the data processing system, credential information for each application of the

Art Unit: 2436

plurality of applications that the user uses from the separate hardware security device; (see Yasuda col. 6, lines 5-11: IC card reader/writer read as and writes data from/to an IC card; col. 6, line 58 - col. 7, line 31: once matching information is received from the client; client requests a list of application names stored on IC card; when user selects one application name; client reads the authentication information corresponding to selected application; if match OK, client receives authentication information and supplies information to client; performs authentication process)

It would have been obvious to one of ordinary skill in the art to modify Schaeck-Cotte for coupling a separate hardware security device, and credential information for each application as taught by Yasuda. One of ordinary skill in the art would have been motivated to employ the teachings of Yasuda for improving security of the authentication information in order to achieve a high level security. (Yasuda col 2, ll 49-54)

8. Claims **18 - 20** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Schaeck-Delany** and further in view of **Cotte**.

With Regards to Claim 18, Schaeck discloses a method for providing a system administrator with a consolidated directory of a plurality of applications accessible by a user, the method comprising:

a) identifying the plurality of applications accessible by the user by examining

authentication credential container of the user; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services))

- b) generating a directory of the plurality of applications accessible by the user; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services)); and
- c) displaying the directory to the administrator; ((see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services)))

the directory comprising:

- d) a name of the user; (see Schaeck paragraph [0059], lines 16-24: userid (i.e. other credentials), password)
- f) a profile of the user detailing a role of the user, a name of the user, an email address of the user, a department of the user, an employee ID of the user, and any additional attributes of the user that have been specified; (see Schaeck paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: process user profile information, account, role of user, administrator)
- k) a list of personal applications accessible by the user also specifying a number of accounts connected to each personal application; (see Schaeck paragraph

Art Unit: 2436

[0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications (personal application is still an application))

Schaeck does not specifically disclose a complete listing of applications. However, Cotte discloses a complete listing of applications for: a); b); (see Cotte paragraph [0116], lines 1-7: total number of application possible)

It would have been obvious to one of ordinary skill in the art to modify Schaeck for a complete listing of applications as taught by Cotte. One of ordinary skill in the art would have been motivated to employ the teachings of Cotte in order to enable the capability to realizing advantages, such as privacy, ease of use, and/or data communications capabilities, offered by available communications environments. (see Cotte paragraph [0022], lines 1-5)

Schaeck discloses:

- h) a list of certificate-enabled applications accessible by the user also specifying a user name of the user; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications (certificate enabled application is still an application and a service accessible by a user))
- j) a list of enterprise applications accessible by the user also specifying a user name of the user; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications (enterprise application is still an application and a service accessible by a user))

Schaeck-Cotte does not specifically disclose a list of key information and tracking a last login attempt of the user. However, Delany discloses wherein a last login attempt of the user for corresponding entries application. (see Delany paragraph [0428], lines 3-8; paragraph [0429], lines 4-7: authentication (login) attempts (successful and unsuccessful) are logged (tracked))

And, Delany discloses:

- e) a list of keys employed by the user also detailing the type and serial number of each key; (see Delany paragraph [0361], lines 1-21: public/private key and certificate usage, certificate serial number; paragraph [0374], ll 11-14: view certificate information (key information and serial number))
- g) a means of updating and resetting the profile; (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: update profile information)
- i) a means of deleting the user name of the user; (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: remove user profile (i.e. account) information)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck-Cotte for key and certification information such as serial number, to enable a last login attempt, and the processing of user profile (i.e. user account) information as taught by Delany. One of ordinary skill in the art would have been motivated to employ the teachings of Delany in order to enable, within a single source or consolidate view, the addition and removal of user accounting and authentication

attributes for an existing group using a centralized source. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

With Regards to Claim 19, Schaeck discloses the method of claim 18, further comprising: a specification of a password for each certificate enabled application, each enterprise application, and each personal application. (see Schaeck paragraph [0059], lines 16-24: password required for access to applications)

With Regards to Claim 20, Schaeck discloses the consolidated directory of claim 18, further comprising:

- a) means for a system administrator to add one or more applications to the lists of the certificate enabled applications, the enterprise applications, or the personal applications of the user; (see Schaeck paragraph [0043], lines 13-15: add or update application list) and
- b) means for a system administrator to delete one or more applications from the lists of the certificate enabled applications, the enterprise applications, or the personal applications. (see Schaeck paragraph [0043], lines 13-15: delete accounts)

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Carlton V. Johnson whose telephone number is 571-

Art Unit: 2436

270-1032. The examiner can normally be reached on Monday thru Friday , 8:00 - 5:00PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nasser Moazzami can be reached on 571-272-4195. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Nasser G Moazzami/
Supervisory Patent Examiner, Art Unit 2436

Carlton V. Johnson
Examiner
Art Unit 2436

CVJ
March 30, 2009